

INFORMATION DISCLOSURE STATEMENT

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| Applicant: | Christopher Rygaard |
| Application No. | 09/758,941 |
| Filed: | 10 January 2001 |
| For: | MOBILE APPLICATION SECURITY SYSTEM AND METHOD |
| Group Art Unit: | 2131 |
| Examiner: | Jenise E. Jackson |
| Attorney Docket No.: | 1010722-991102 |

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Commissioner of Patents and Trademarks
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Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

This Information Disclosure Statement:

- (a) ☐ accompanies a new patent application submitted herewith.
- (b) ☐ is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; **OR**
- (c) ☒ after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, **OR**
- (d) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below **OR**
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (f) ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (g) ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed



The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896

Respectfully submitted,

Date: February 26, 2004

GRAY CARY WARE & FREIDENRICH LLP

By: *Timothy W. Lohse*

Reg. No. Timothy W. Lohse, Reg. No. 35,255
Attorneys for Applicant(s)

East Palo Alto, CA 94303
650-833-2055

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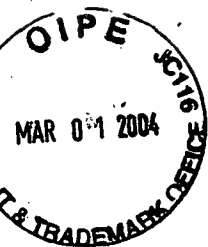
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February 26, 2004
Date

Cathy Pittman
Cathy Pittman



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PTO/SB21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|---|------------------------|-------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/758,941 |
| | Filing Date | January 10, 2001 |
| | First Named Inventor | Rygaard, Chris |
| | Group Art Unit | 2131 |
| | Examiner Name | Jenise E. Jackson |
| Total Number of Pages in This Submission | Attorney Docket Number | 1010722-991102 |

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| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Associate Power of Attorney | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Information Disclosure Statement (2 pp) Form PTO-1449 (1 pg); 11 Articles; 1 European Patent; 1 PCT International Search Report; 3 Office Actions; Certificate of Mailing; Return postcard |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> | <input type="checkbox"/> Request for Refund | |
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| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

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|---|--|
| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT | |
| Firm or Individual name | Timothy W. Lohse (Reg. No. 35,255) Gray Cary Ware Froidenrich |
| Signature | |
| Date | February 26, 2004 |

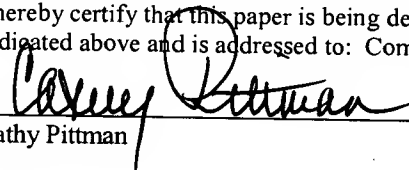
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| Typed or printed name | Cathy Pittman | Date | February 26, 2004 |
| Signature | | | |



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Sir:

Transmitted herewith for filing for the Application:

U.S. Patent Application S/N: 09/758,941 for
MOBILE APPLICATION SECURITY SYSTEM AND METHOD

FILED: January 10, 2001
INVENTOR(s): Christopher Rygaard
EXAMINER: Jenise E. Jackson
ART UNIT: 2131

Enclosed are:

- [1] Transmittal Form;
- [2] Information Disclosure Statement;
- [1] PTO Form 1449;
- [16] IDS References: 11 Articles; 1 European patent; 1 PCT International Search Report; 3 Office Actions;
- [1] Certificate of First Class Mailing; and
- [1] Return Postcard